



05/16/01

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Patent and Trademark Office: U.S. DEPARTMENT OF

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. OMY-010

Total Pages 2

First Named Inventor or Application Identifier

AKIMOTO et al.

Express Mail Label No.

## APPLICATION ELEMENTS

See MPEP Chapter 600 concerning DESIGN patent application contents

ADDRESS  
TO:Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages 42]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) [Total Sheets 8]
4. Oath or Declaration [Total Pages 4]
  - a. ☐ Newly Executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]
  - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application,  
see 37 CFR 1.63(2) and 1.33(b)
5. ☐ Incorporation By Reference - useable if Box 4b is checked  
The entire disclosure of the prior application, from which a  
copy of the oath or declaration is supplied under Box 4b,  
is considered as being part of the disclosure of the  
accompanying application and is hereby incorporated by  
reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identical of above copies

## ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet & Documents(s))
9. ☐ 37 CFR 3.27(b) Statement [ ] Power of Attorney  
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS) PTO-1449 [ ] Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. ☐ Small Entity Statement(s) [ ] Statement filed in prior application  
Status still proper and desired
15. ☒ Certified Copy of Priority Document(s)  
if foreign priority is claimed
16. ☐ Other

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.

## 18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 23353 or ☒ Correspondence address below  
(Insert Customer No. or Attach bar code label here)

NAME	RADER, FISHMAN & GRAUER, PLLC				
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PTO SB 17 (12-99)

Approved for use through 6/30/2000 OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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11002 U.S. PTO  
09/855806  
05/16/01

# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO 09-12

TOTAL AMOUNT OF PAYMENT (\$ 826.00)

## Complete if Known

Application No.	To be assigned
Filing Date	May 16, 2001
First Named Inventor	AKIMOTO ET AL.
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	OMY-010

### METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number

18-0013

Deposit Account Name

RADER, FISHMAN & GRAUER PLLC

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. ☐ Payment Enclosed:

- ☐ Check ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	710
106	320	206	160	Design filing fee	
107	480	207	240	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$ 710)

#### 2. EXTRA CLAIM FEES

Total Claims	Extra	Fee from below	Fee Paid
22	-20=	2	36
4	-3=	1	80
Multiple Dependent			0

\*\*or number previously paid, if greater; For Reissues, see below.

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 20
104	270	204	135	Multiple dependent claims, if not paid
105	60	205	30	**Reissue claims in excess of 20 and over original patent
109	18	209	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 116)

### 3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840	113	1,840	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	380	216	190	Extension for reply within second month	
117	870	217	435	Extension for reply within third month	
118	1,360	218	680	Extension for reply within fourth month	
128	1,850	228	925	Extension for reply within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,210	241	605	Petition to revive - unintentional	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	790	246	395	Filing a submission after final rejection (37 CFR 1.129(a))	
149	790	249	395	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify):					
*Reduced by Basic Filing Fee Paid					
SUBTOTAL (3) (\$)					

### SUBMITTED BY

Typed or Printed Name

Robert S. Green

Signature

*Robert S. Green*

Date

May 16, 2001

Complete (if applicable)

Reg. No. 41,800

Deposit Account User ID

18-0013

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Patent Application of )  
AKIMOTO et al. )  
Serial No.: to be assigned ) ATTN: APPLICATIONS BRANCH  
Filed: May 16, 2001 )  
For: FILM FORMING APPARATUS )  
AND FILM FORMING METHOD )

11002 U.S. PTO  
09/855806  
05/16/01

CLAIM TO PRIORITY UNDER 35 U.S.C. §119

Commissioner for Patents  
Washington, D.C. 20231

Sir:

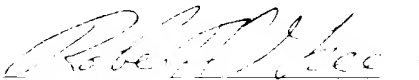
The benefit of the filing date of the following prior application filed in the following foreign country is hereby requested and the right of priority provided under 35 U.S.C. §119 is hereby claimed:

Japanese Patent Appl. No. 2000-146314 filed May 18, 2000

In support of this claim, filed herewith is a certified copy of said original foreign application.

Respectfully submitted,

Dated: May 16, 2001

  
Robert S. Green  
Reg. No. 41,800

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